



## **MINUTES**

November 15, 2019

California Room, California Chamber of Commerce  
1215 K Street, 14<sup>th</sup> floor  
Sacramento, CA 95814

### **9:00 a.m. Welcome by President, David Gould**

Acceptance of Financial Statement (Attached): Mary Nunes moves to accept, Michelle Sanders seconds. No objections.

### **SOS Presentation, Julie Waddell, Taylor Kayatta, Lejon Palmer, Hailey Coursey, and Samantha Brown.**

Update on Secretary of State Political Reform Division staffing. They have modernized their phone system, with updated contacts, to better assist callers. New system will ready beginning January 2020. In the meantime, we have attached a list with contacts for your reference based on what you may need assistance with.

Question: What is the timing on getting new lobbyist information reflected on the Secretary of State website? A Lobbyist must be reflected as “Active” on the Secretary of State’s website, in order to obtain ID Badge. When filing new lobby registration paperwork, if there are no issues, you can expect the registration to be processed within 5 business days. If there are issues with the registration paperwork, it can take up to 5+ days to process.

Advice: Avoid these discrepancies that may delay the registration process: ensure all paperwork has original wet ink signatures, include the filing fee with registration (\$100 for entire 2-year session; \$50 if registering during the last year of session), include a 4x6 portrait, head and shoulders only, of the lobbyist.

Question: When requesting ID/Passwords for lobbyists, lobbying firms, lobbyist employers, etc., the person requesting does not need to be the same person as the listed Responsible Officer. Samantha will be responding with further information on Responsible officer changes throughout the 2-year cycle.

The Secretary of State and the FPPC are now coordinating together, regarding fines. You will no longer receive fines from both agencies, for the same offense. In the past, both agencies could fine a committee twice for the same offense. Please note, Local jurisdictions can still “double-fine,” along with the FPPC.

## **Philip Ung and Tara Stock, FPPC Enforcement and Legislative Update**

Phillip Ung reviewed several bills (List of New Laws 2019 PRA attached), some discussions on: AB 220 (Bonta) which allows for the use of campaign funds to pay for childcare costs, with no cap.

AB 571 (Mullin) allows local jurisdictions to adopt their own limits. If no limits are adopted, then limits are “defaulted” to state limits. Please note, a “no contribution limit” within a jurisdiction is considered an adoption of limits.

AB 909 (Gallagher) which stipulates every new Form 410 filed will require Treasurers to not only sign this form, but also sign a “separate statement acknowledging cognizance of the law.” Both forms will be filed at the same time. Only new committees will have to include the Statement of Acknowledgement and 410 amendments in which the Treasurer or Assistant Treasurer is changing.

Tara Stock went over 2019 Enforcement and complaint trends. Attached please find the handout provided.

12:00 p.m. Meeting adjourned for lunch, sponsored by Gretchen Eischen, SVP, Specialty Deposits Banker, First Foundation Bank.

1:15 p.m. Meeting called back to order by David Gould, President.

## **Pam Parra and Dawn Hadid FTB Audit Reform Program**

Discussed the random selection process. It typically takes 2 years to complete audits. Mandatory audits for all State Ballot Measure committees with \$25K+ activity.

The FTB understands timing can be difficult when trying to schedule an audit during an election year. They try their best to work with schedules.

There are 5 Phases to the audit process: Preliminary Audit, Field Work, Internal Review; Review Process and Report of findings, this is the time that committees can respond or comment on any findings, Report sent to FPPC, Attorney General and filing officers.

If the electronic records that the FTB retrieves from online are complete, no other materials will be needed for the audit process.

A link for more information regarding the Political Reform Audit Process.

<https://www.ftb.ca.gov/tax-pros/political-reform-audit.html>

## **Branche Jones, CPTA Lobbyist, Legislative update**

AB 1574 (Mullin) has introduced this bill, which would require lobbyists to file more often; either on a monthly or bi-monthly basis (frequency not yet determined). Currently this bill is held in Committee.

Assemblymember Mullin is hoping this bill will provide full disclosures for lobbyist’s who register at the end of the quarter.

Branche Jones and Laura Stephen meet with Assemblymember Mullin, discussing the cons of this bill. It is being opposed with no alternative solution.

Branche suggested that the CPTA revive the Legislative committee so that they can look at writing legislation that would impact our work.

### **Member Round Table Discussion:**

Review of the November 14th 2019 SIG CARS Meeting; Both organizations CPTA and CPAA had representatives at the meeting. This was the second meeting of this group. The first meeting was a founding meeting and beginning discussion of the new CARS System. As a result of the first meeting, three requests for change were submitted. In this meeting 2 of the 3 were addressed. The third required further review. For CPTA Members that are well versed in particular areas of political reporting such as candidate meals, travel, etc., member communication; if you are interested in having certain scenarios addressed, please gather some type of documentation, examples, to share with Mary Nunes and she will share with the SIG - CARS team at the next meeting.

Regarding amendments to filed campaign statements. As of now, the new CARS system will have "strike-outs" and other notations specifically showing what was amended.

The new CARS filing system will no longer uphold the \$25K threshold for e-filing. ALL filers will e-file, regardless of activity level.

### **UPCOMING CPTA MEETINGS:**

March 20th 2020 Los Angeles (Possible location: Proud Bird).

June 11-14 2020 Las Vegas (Location: TBD)

### **Vendor Presentations:**

Bobby Reed, Steve Davey eFundraising.  
David Montgomery, NetFile.

4:00 p.m. Meeting adjourned

**Members in attendance:** Michelle Sanders, Danielle Stephen, Zoe Misquez, Nancy Haley, Jane Leiderman, Bryan Burch, Laura Stephen, Trish Mayer, Carla Bailey, Claudia Gonzalez-Miranda, Sheila Scally, Kimberly Urbano, Gary Crummitt, Lindsay Rice, Michael Huang, Tom Howard, Yolanda Miranda, Flora Yin, Charley Herzfeld, Edith Garcia, Roman Nguyen, Leilani Rudow Beaver, D'Ron Smith, Justin Terrill, Chad Whitehead, Mary Nunes, Tricia Kent, Jenny Sawyer, Michael Norton, Rachel Wong, Ingrid Orellana, David Gould, Nadia Modesto, Diana Reynoso, Chris Thomas, Meagan Olson, Fergus Milligan, Damon Flagg, Briana Baleskie, Carissa McFadden, Derek Furr, Evette Bakke, Mia Tobias, Nancy Warren, Patricia Mar.